

KTP Sponsor Funding Criteria June 2015

| Sponsor | Size of Business | Type of Business | Definition of Impact | Willing to Sole Fund? | Other |
|---------------------------------------|--|--|---|---|---|
| Innovate UK | Any | Private sector and others e.g., charities and other third sector that can demonstrate impact | Economic growth and creation of economic wealth for the UK | Yes where potential for wealth creation & economic growth | <ul style="list-style-type: none"> • Our priority for funding will be private sector SMEs • We strongly encourage SME engagement for large companies • We do not support applications where a public sector organisation is the company partner (Public sector defined as >50% owned by UK Government or a devolved administration). • <i>Public sector organisations retain eligibility for KTP where individual projects can be fully funded by co-funding organisations</i> |
| Research Councils | As for Innovate UK | Private, public, third sector, other | “which demonstrate sufficient potential to generate economic growth and/or societal wellbeing within the UK by creating an impact from research” | Yes, where potential for economic growth and/or societal well-being. Must have significant societal benefit where economic case not made, & likely to be small % of portfolio | <ul style="list-style-type: none"> • Only eligible ROs that satisfy their eligibility criteria • All Research Councils look to support highly innovative KTPs based on excellent research. STFC, EPSRC and BBSRC require prior RC funding for the related research; NERC and MRC prefer this link, ESRC & AHRC do not require this. • BBSRC have preference for post-doc associate • MRC have strong preference for post-doc associate |
| Scottish Funding Council (SFC) | SME priority; Large where significant economic benefit, & supply chain involvement | Private, public, third sector, others | “Economic and/or societal impact” | Yes, where economic and/or societal impact | <ul style="list-style-type: none"> • Projects do not necessarily need to be of a technological nature. • Funding for KTPs should be demand-led, responsive to business needs in Scotland and not restricted to any specific business sectors. • KB partner should be the most appropriate for the KT |

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| Invest NI | No preference | Private sector or social enterprise only | “have commercial potential... and provide economic benefit to Northern Ireland” | Yes if of strategic importance | <ul style="list-style-type: none"> • KB partner should be the most appropriate for the KT • A small % of projects from the social economy may also be considered for shared funding provided they fully meet KTP requirements (i.e. demonstrate economic benefits, financial stability & academic /associate challenge) • Preference will be given to those projects that are innovative and imaginative in scope, have commercial potential, demonstrate clear academic challenge and which have the ability to attract new University Faculties/Further Education Colleges |
| Welsh Government | SME & Large (Large encouraged to include supply chain) | Private sector, social enterprises, not-for-profit organisations, charities and public sector organisations | “economic and/or social benefit to Wales.... lead to the creation of additional jobs in Wales” | Yes. Preference for co-funding. Potential to sole fund projects that demonstrate sufficient potential to contribute to economic and /or social enhancement in Wales | <ul style="list-style-type: none"> • KTPs that provide economic and/or social benefit to Wales • KTP projects embracing the four grand challenge areas identified in Innovation Wales will attract priority funding, however any high quality projects will also be considered. Preference for economic benefit • Keen to attract new HE & FE departments or institutions into KTP • The WG is offering the three year Enhanced KTP project which provide the unique opportunity to incorporate an international element of knowledge transfer or knowledge sharing |
| Department for Environment Food & Rural Affairs (Defra) | SME preference (90% of projects); large if increased competitiveness & improved environmental impact | Not stated | All proposals should demonstrate the project can contribute to, or have a positive impact on, economic growth and demonstrate either clear potential environmental benefits or no negative environmental impact | Very strong preference for shared funding (& preference for sharing funding with Innovate UK) | <ul style="list-style-type: none"> • Will not fund in Scotland unless company partner in England • Interested in projects that improve resource efficiency with environmental sustainability of the food chain • Will consider projects aimed at improving food quality & safety (reduced waste), manufacturing processes & development of new products where involve food & engineering sciences & clear justification |

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| Department of Health (DH) | No preference | No preference | Where there is patient need and a demand by NHS &/or world health care markets | Favours co-funding | <ul style="list-style-type: none"> Focused on medical devices |
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Overarching Criteria for KTP Funding

Each proposal for a Knowledge Transfer Partnership will be assessed against all of the following criteria, no matter which of the funding organisations might support that Project:

General:

- All projects must be fully in accord with the agreed aims and objectives for Knowledge Transfer Partnerships.
- There must be a clear need for input from the UK knowledge base to the project and a clearly demonstrated need for knowledge transfer.
- The potential outcomes/benefits for all three partners will not, or will be most unlikely to, occur to the same extent without a Knowledge Transfer Partnerships project (i.e. there is clear additionality).
- The project must not replace what could be achieved by using independent consultants (i.e. it must require the ongoing involvement of an academic partner in project delivery and needs the active embedding of outcomes by an Associate), and must not replace solutions that are available 'off the shelf' (e.g. commercial software packages).
- The project must be stimulating and challenging for all three partners.
- The stated potential benefits for all three partners will be likely to accrue.

Business:

- The business partner must be capable of making significant use of the knowledge, skills and/or capability to be transferred.
- The proposed project must be innovative and of clear strategic relevance to the business.
- The proposed project must be underpinned by a clear business case, including commercial, societal, cultural and environmental outputs and outcomes as appropriate
- The business partner must be regarded as being financially viable following consideration of their latest Report and Accounts, or other financial information where appropriate.
- There must be evidence of commitment to the project by the business and this shall be reflected in the proposed membership of the Local Management Committee.

- The business partner must indicate how the proposed project is likely to result in the spread of best practice (e.g. within that firm or to suppliers or to customers).

Associate:

- The project must provide the Associate(s) with a challenging learning experience appropriate to his/her background and aspirations.
- There must be a clear training and development plan for the Associate(s) which is appropriate to the requirements of the KTP project.
- There must be an appropriate level of academic and company support available to the Associate(s) throughout the project.

Knowledge Base:

- There must be clear benefits to the knowledge base partner, including target outcomes.
- The proposed involvement of the knowledge base partner must provide the appropriate expertise, having regard to the knowledge, skills and expertise to be transferred during the course of the project.
- There must be evidence of commitment to the project by the knowledge base partner and this shall be reflected in the proposed membership of the Local Management Committee.